



Wesleyan University

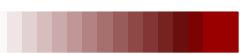
Department of Physics  
Wave Transport in Complex Systems Lab

# *In-situ Physical Adjoint Computing in Multiple-Scattering Electromagnetic Environments for Wave Control*

**John Guillamon, Chengzhen Wang, Zin Lin, Tsampikos Kottos**

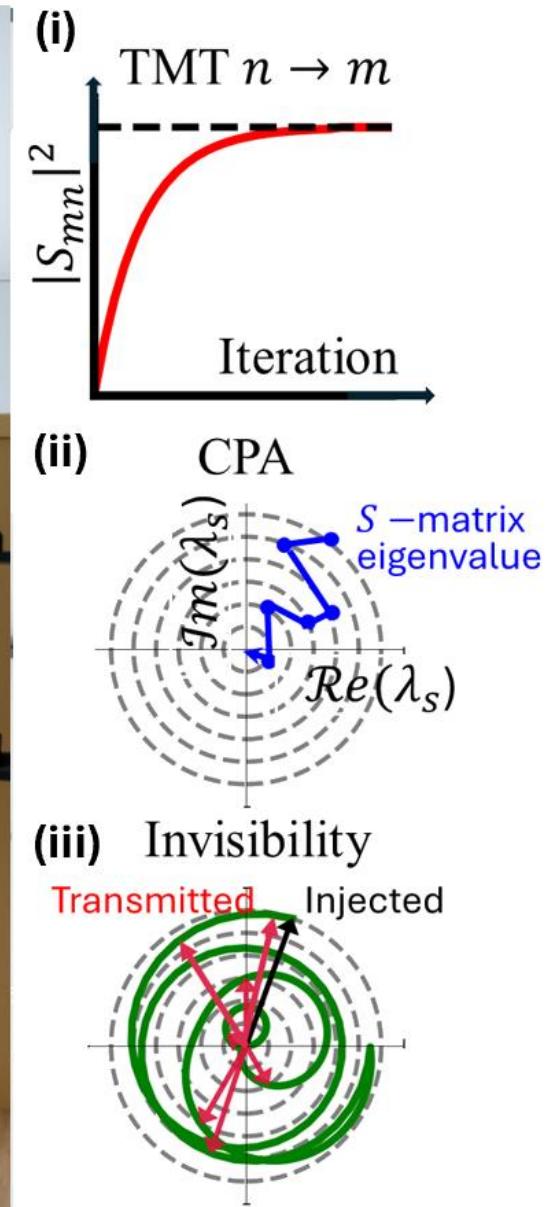
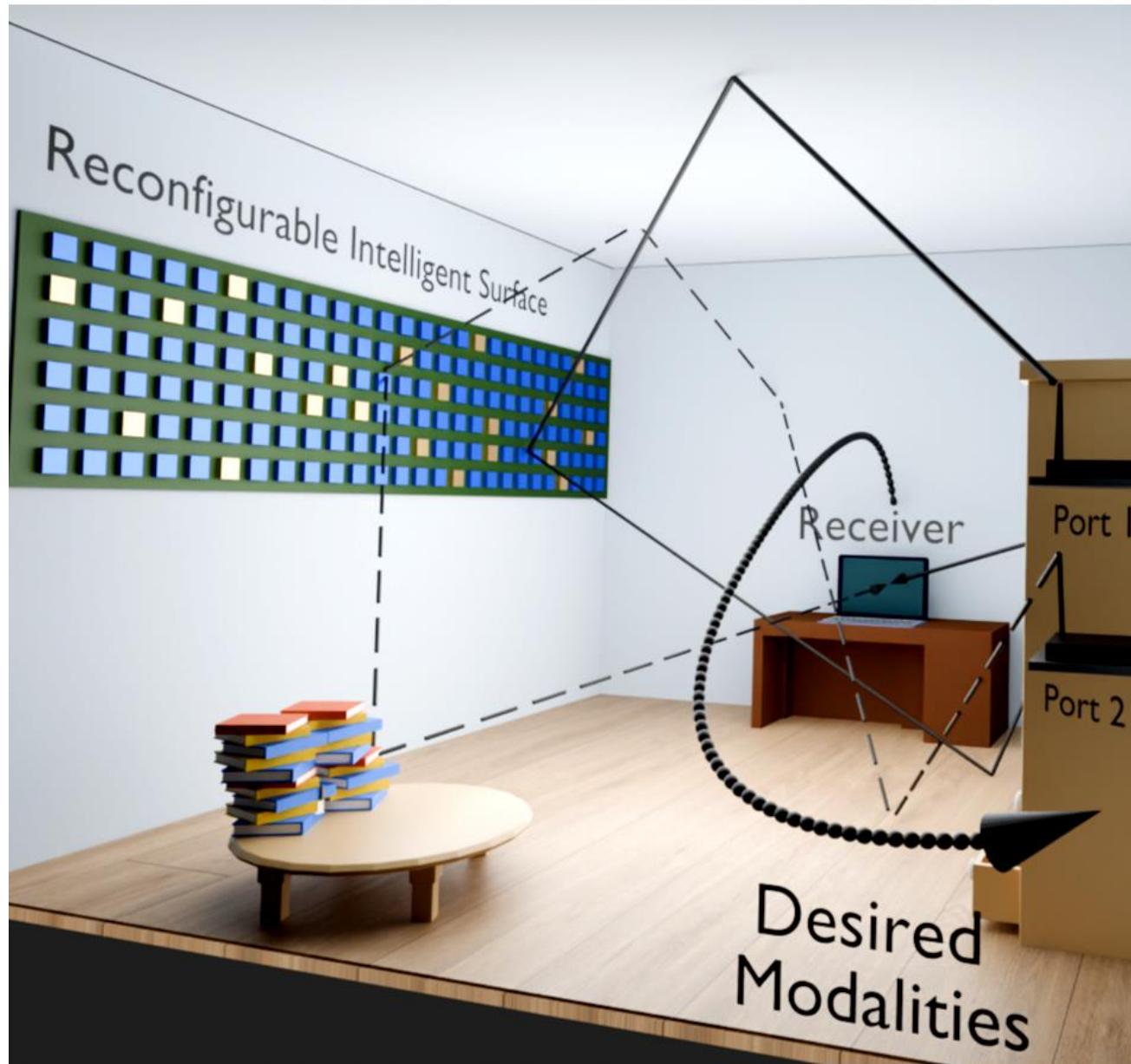
Acknowledgement: Prof. Steven Johnson, (Simons Presentation 2023)

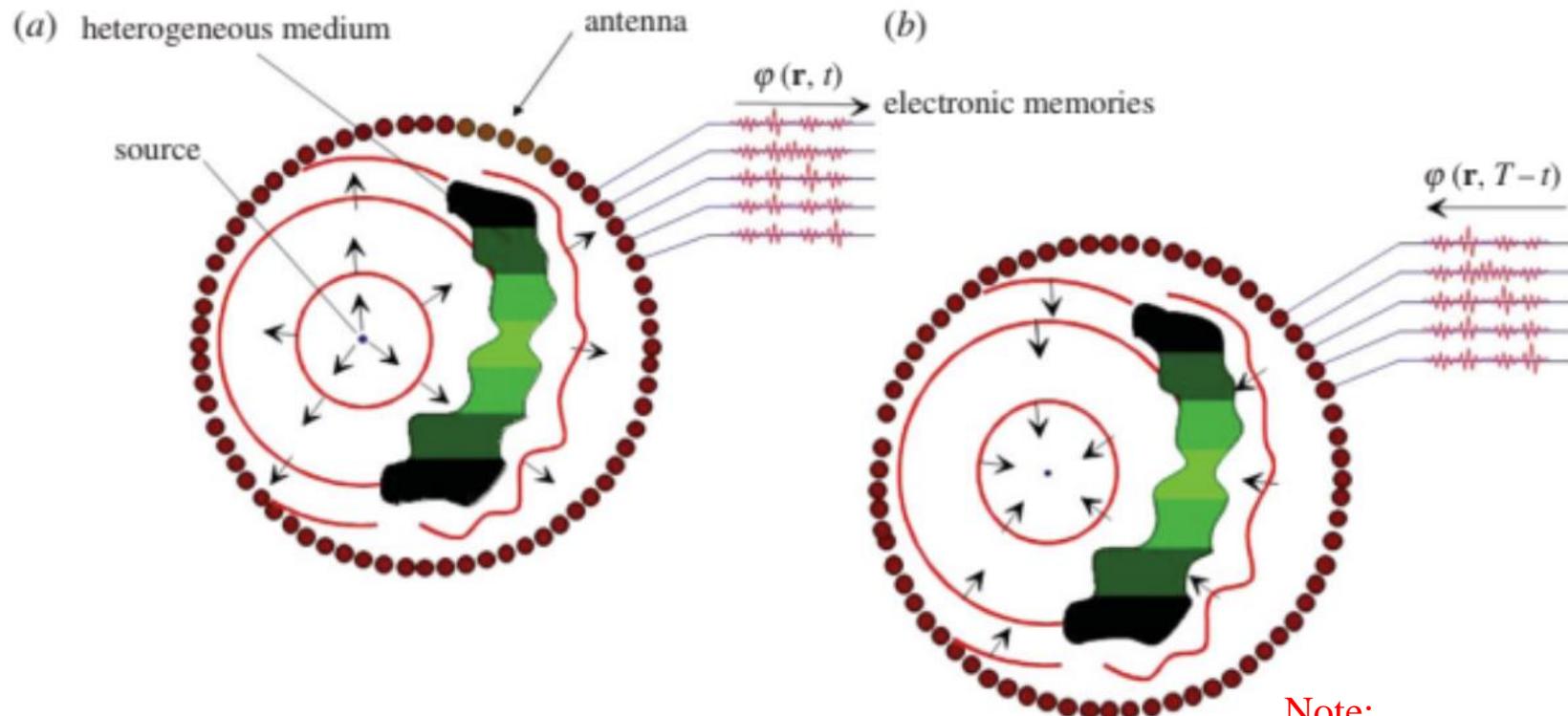
<https://cqdmpr.research.wesleyan.edu>



- General Problem: Challenges in Wireless Communications
- Methodologies
  - 1. Time-Reversal Mirror Protocol
  - 2. Linear and Nonlinear Wavefront Shaping Protocols
  - 3. Cavity Shaping Protocols
- In-Situ Adjoint Method
  - 1. General Principles of Adjoint Method
  - 2. Physical Implementation in Complex Networks
- In-Situ Modality Examples
  - 1. Targeted Mode Transmission
  - 2. Coherent Perfect Absorption
  - 3. Invisibility
- In-Silico Generalization
- Outlook

# Challenges in Wireless Communications





## Time-Reversal Mirrors (TRM) in 4 steps:

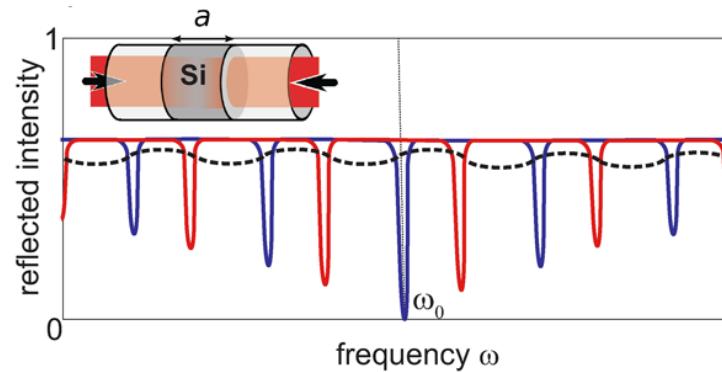
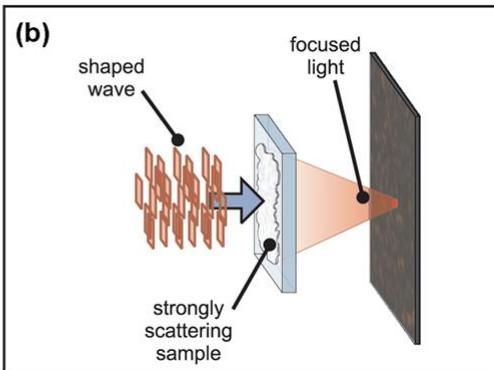
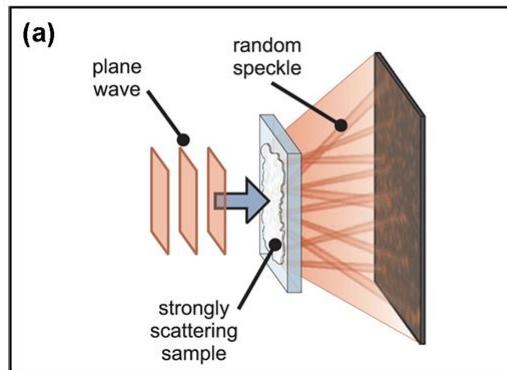
- (i) Target (source) emits a signal
- (ii) Receivers (TRM) register the signal
- (iii) TRM time-reverse the register signal
- (iv) Send back the time-reverse signal

Note:

- 1) The "environment" needs to be "static"
- 2) An exact TR process requires TR of source (from source to sink)

Fink, M. (2016). From Loschmidt daemons to time-reversed waves. *Philosophical Transactions of the Royal Society A: Mathematical, Physical and Engineering Sciences*, 374

# Linear and Nonlinear Wavefront Shaping Protocols



$$|\mathcal{O}\rangle = \hat{S}(k, \gamma)|\mathcal{I}\rangle$$

$$= \lambda(k, \gamma)|\mathcal{I}\rangle = 0$$

CPA Condition:

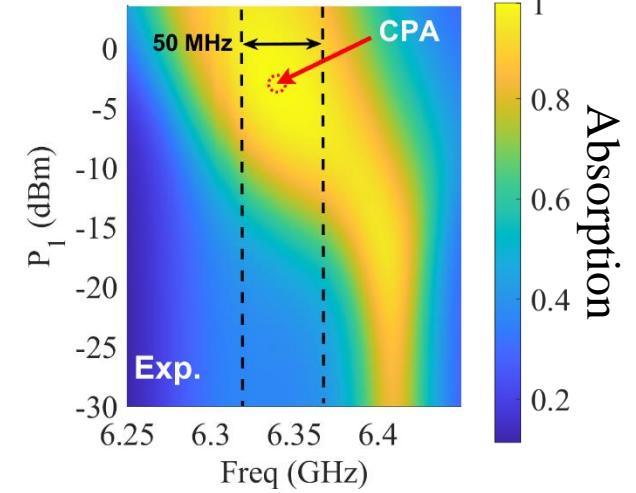
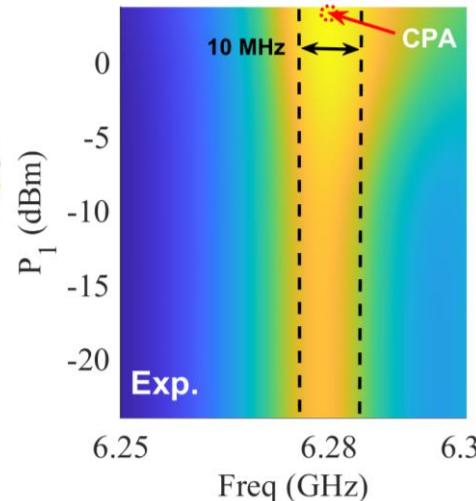
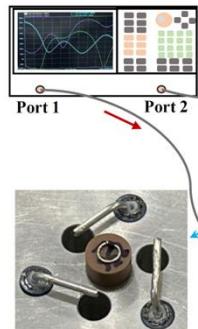
$$\lambda_{CPA}(k_{CPA} \in \mathcal{R}, \gamma_{CPA}) = 0$$

$$|\mathcal{I}\rangle = |\mathcal{I}_{CPA}\rangle$$

Vellekoop, I. M., & Mosk, A. P. (2007). Focusing coherent light through opaque strongly scattering media. *Optics letters*,

Chong, Y. D. Stone A.D. , et al. 2010, "Coherent perfect absorbers: time-reversed lasers."

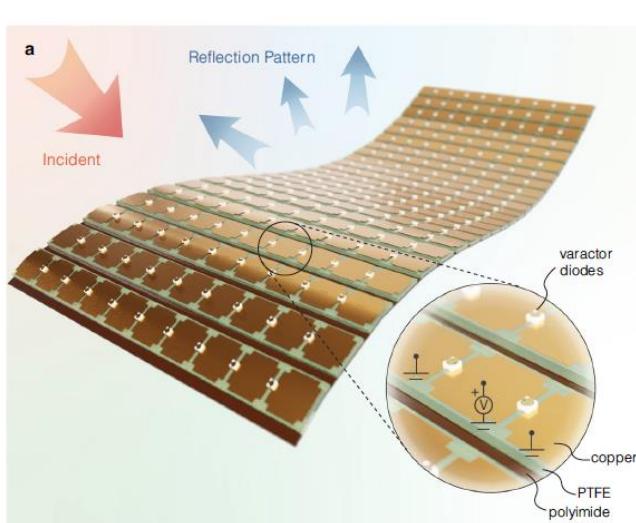
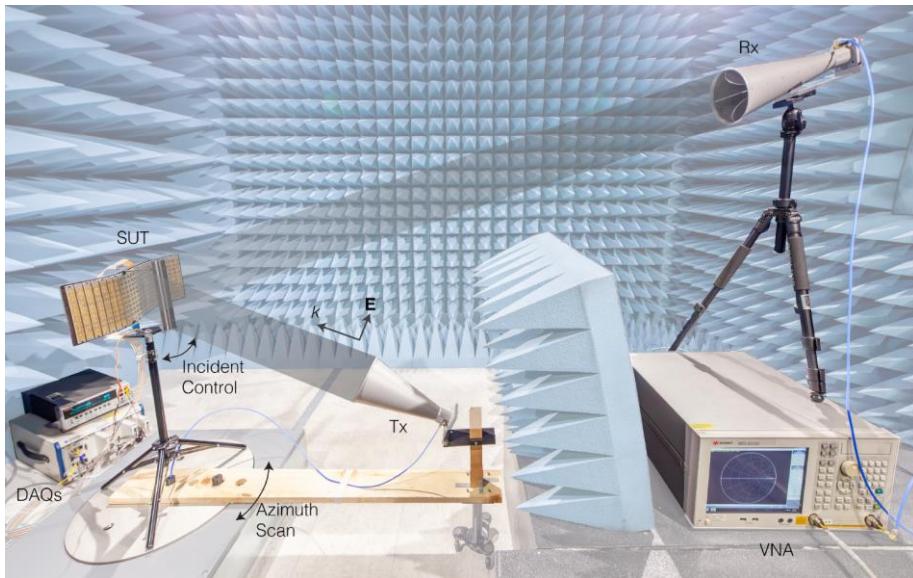
## Nonlinear Wavefront Shaping



Wang, C.Z., Guillamon, J., Tuxbury, W. et al. "Nonlinearity-induced scattering zero degeneracies for spectral management of coherent perfect absorption in complex systems." *Physical Review Applied* 22.6 (2024): 064093.

# Cavity Shaping Protocols

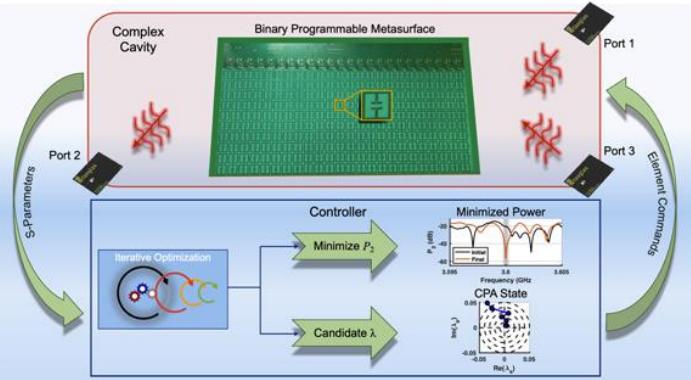
## Intelligent Wave Control in Complex Environment



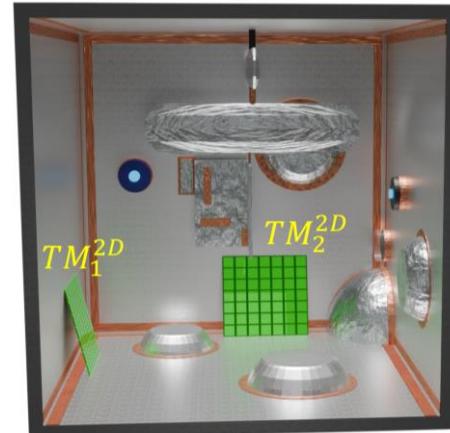
Kaina, N., Dupré, M., Lerosey, G., & Fink, M. (2014). Shaping complex microwave fields in reverberating media with binary tunable metasurfaces. *Scientific reports*, 4(1), 6693.

Wen, Erda, Xiaozhen Yang, and Daniel F. Sievenpiper. "Real-data-driven real-time reconfigurable microwave reflective surface." *Nature Communications* 14.1 (2023): 7736.

## Chaotic cavity/Meta-surface

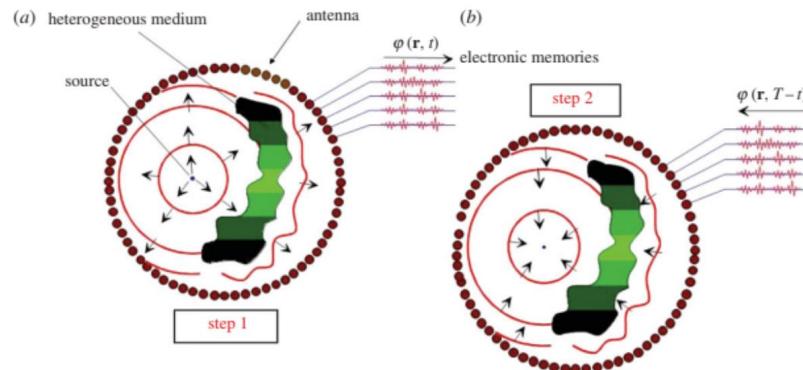


Frazier, B. W., Antonsen Jr, T. M., Anlage, S. M., & Ott, E. (2020). Wavefront shaping with a tunable metasurface: Creating cold spots and coherent perfect absorption at arbitrary frequencies. *Physical Review Research*, 2(4), 043422.

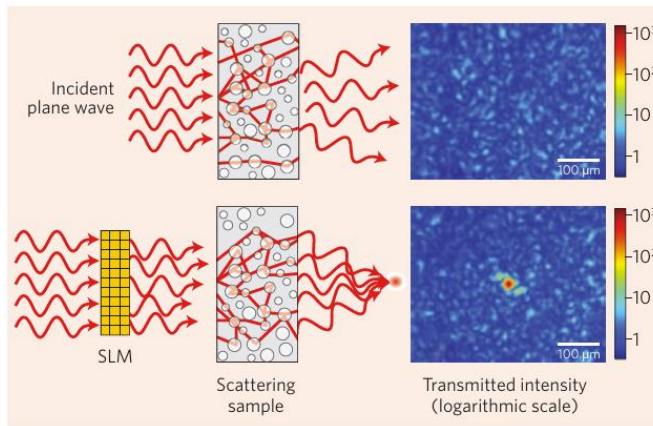


Erb, J., Shaibe, N., Calvo, R., Lathrop, D., Antonsen, T., Kottos, T., & Anlage, S. M. (2024). Novel Topology and Manipulation of Scattering Singularities in Complex non-Hermitian Systems. *arXiv preprint arXiv:2411.01069*.

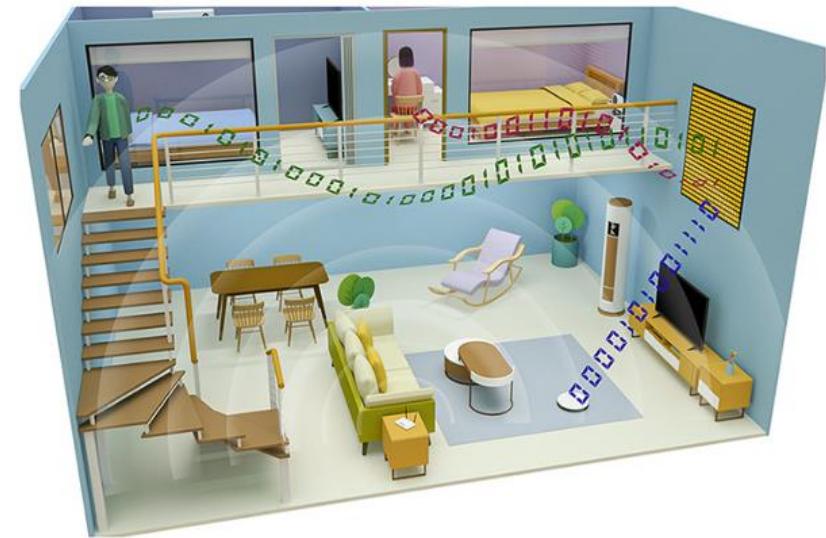
# Time Reversal



## Wavefront Shaping



## Cavity Shaping

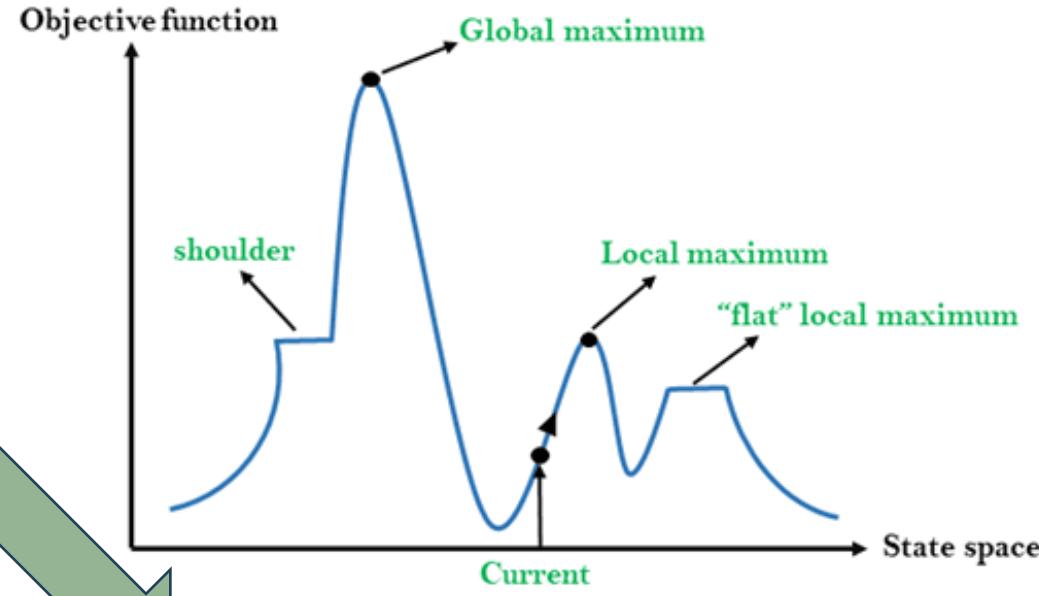


- General Problem: Challenges in Wireless Communications
- Methodologies
  - 1. Time-Reversal Mirror Protocol
  - 2. Linear and Nonlinear Wavefront Shaping Protocols
  - 3. Cavity Shaping Protocols
- In-Situ Adjoint Method
  - 1. General Principles of Adjoint Method
  - 2. Physical Implementation in Complex Networks
- In-Situ Modality Examples
  - 1. Targeted Mode Transmission
  - 2. Coherent Perfect Absorption
  - 3. Invisibility
- In-Silico Generalization
- Outlook

Optimization



Gradient-Free:  
Bayesian  
Surrogate



Gradient-Based:  
Finite Difference  
**Adjoint Method**

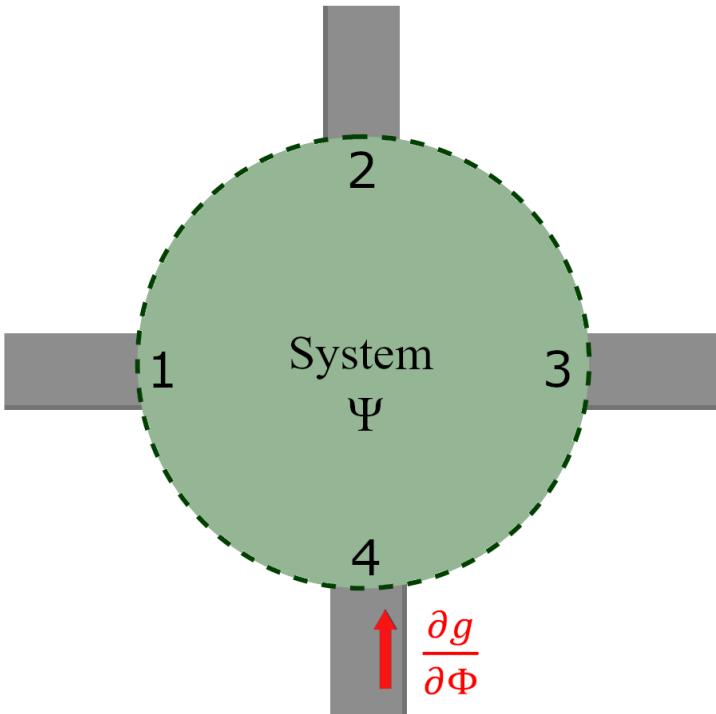
We propose and demonstrate the use of  
an *in-situ* (Experimentally Driven)  
Adjoint Method

$\mathbf{g}(\mathbf{p}, \Phi, \Phi^*)$ : Objective  
 $\mathbf{p}$ : Parameter Vector  
 $\mathbf{b}(\mathbf{p})$ : Source  
 $\mathcal{M}(\mathbf{p})$ : Wave System  
 $\Phi(\mathbf{p})$ : Forward Field  
 $\lambda(\mathbf{p})$ : Adjoint Field

Forward Problem:  $\mathcal{M}(\mathbf{p})\Phi = \mathbf{b}(\mathbf{p})$

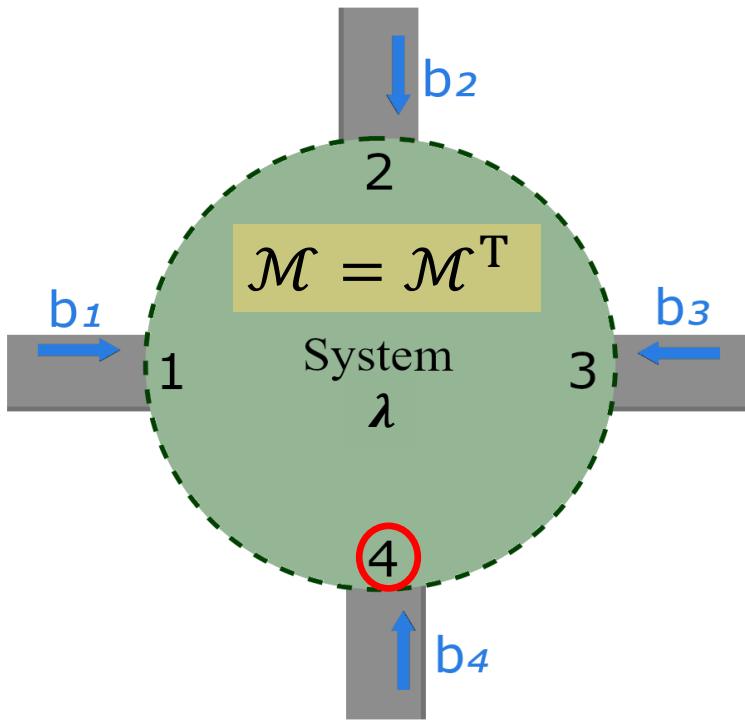
Adjoint Problem:  $\mathcal{M}^T \lambda = \frac{\partial g}{\partial \Phi}$

Gradient:  $\frac{dg}{d\mathbf{p}} = \frac{\partial g}{\partial \mathbf{p}} + \lambda^T \left( \frac{\partial \mathbf{b}}{\partial \mathbf{p}} - \frac{\partial \mathcal{M}}{\partial \mathbf{p}} \Phi \right)$



- Exploit reciprocity: Only one additional “adjoint” measurement needed
- In-situ measurements self-calibrate against real-world losses/detuning
- Real-time, gradient-based optimization without big data sets or neural training neural networks

$\mathbf{g}(\mathbf{p}, \Phi, \Phi^*)$ : Objective  
 $\mathbf{p}$ : Parameter Vector  
 $\mathbf{b}(\mathbf{p})$ : Source  
 $\mathcal{M}(\mathbf{p})$ : Wave System  
 $\Phi(\mathbf{p})$ : Forward Field  
 $\lambda(\mathbf{p})$ : Adjoint Field



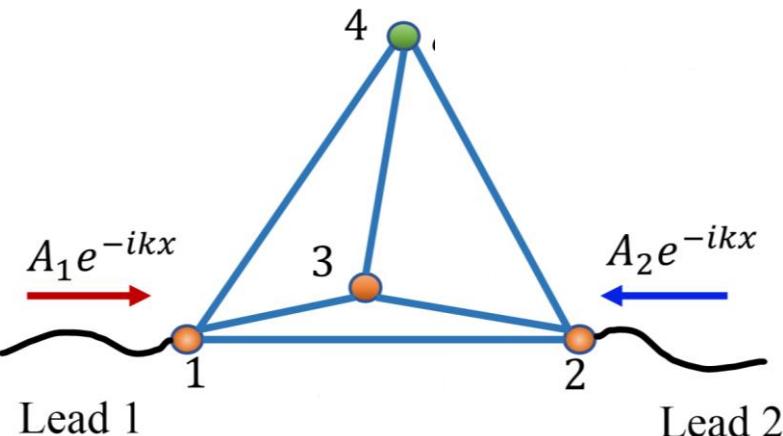
Forward Problem:  $\mathcal{M}(\mathbf{p})\Phi = \mathbf{b}(\mathbf{p})$

Adjoint Problem:  $\mathcal{M}^T \lambda = \frac{\partial g}{\partial \Phi}$

Gradient:  $\frac{dg}{d\mathbf{p}} = \frac{\partial g}{\partial \mathbf{p}} + \lambda^T \left( \frac{\partial \mathbf{b}}{\partial \mathbf{p}} - \frac{\partial \mathcal{M}}{\partial \mathbf{p}} \Phi \right)$

- Exploit reciprocity: Only one additional “adjoint” measurement needed
- In-situ measurements self-calibrate against real-world losses/detuning
- Real-time, gradient-based optimization without big data sets or neural training neural networks

# Microwave Graph Network



- Wave equation on each bond:

$$\frac{d^2}{dx_{mn}^2} \psi_{mn}^{(\alpha)} + k^2 \psi_{mn}^{(\alpha)} = 0$$

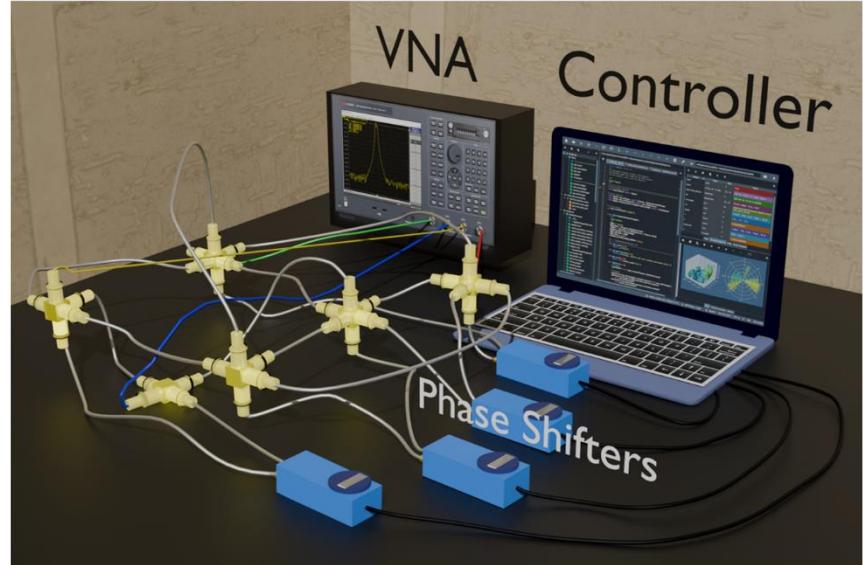
- Wave continuity on each vertex:

$$\psi_{mn}^{(\alpha)}(x_{mn} = 0) = \phi_m^{(\alpha)}$$

$$\psi_{nm}^{(\alpha)}(x_{nm}) = \phi_n^{(\alpha)} \frac{\sin k(L_{nm} - x_{nm})}{\sin kL_{nm}} + \phi_m^{(\alpha)} \frac{\sin kx_{nm}}{\sin kL_{nm}}$$

- Current conservation:

$$\sum_n \frac{d\psi_{mn}^{(\alpha)}}{dx_{mn}} \Big|_{x_{mn}=0} + \sum_{\mu=1,2} \delta_{\mu,\alpha} \frac{d\psi_{\mu}^{(\alpha)}}{dx} \Big|_{x=0} = 0$$



- Matrix equation for the wave on the vertices

$$(M + iW^T W)\Phi^{(\alpha)} = 2iW^T I^{(\alpha)}$$

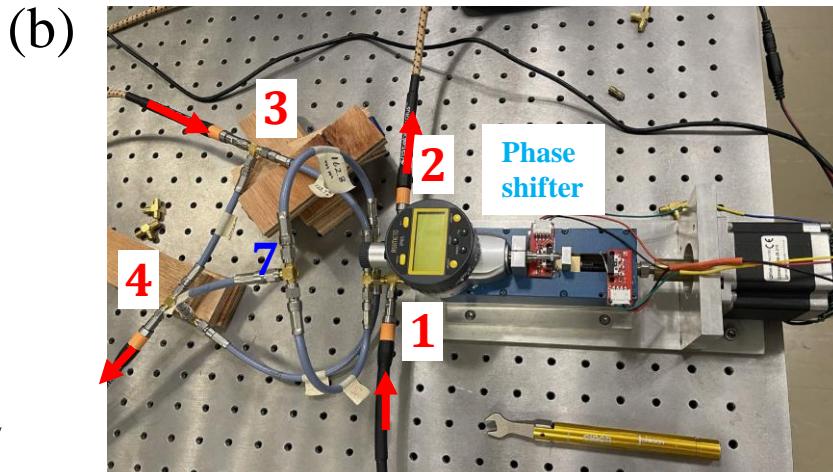
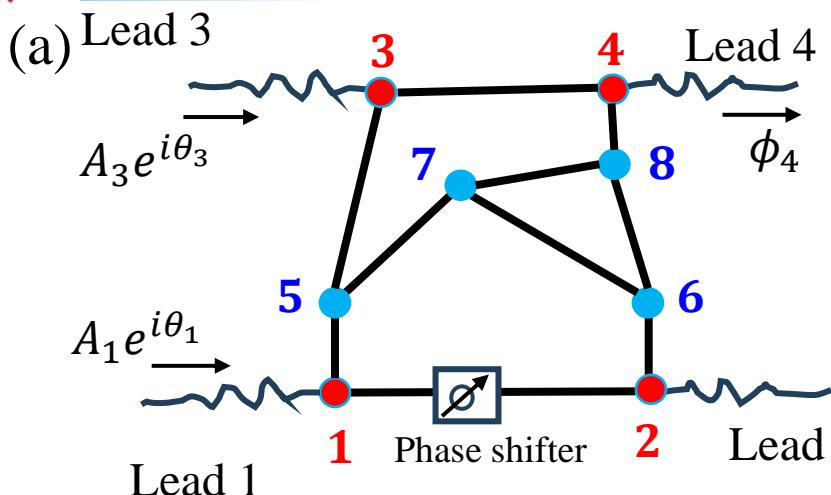
$$M_{mn} = \begin{cases} - \sum_{\gamma \neq m} \mathcal{A}_{m\gamma} \cot kL_{m\gamma}, & m = n \\ \mathcal{A}_{mn} \csc kL_{mn}, & m \neq n \end{cases}$$

$$W = \begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \end{pmatrix}$$

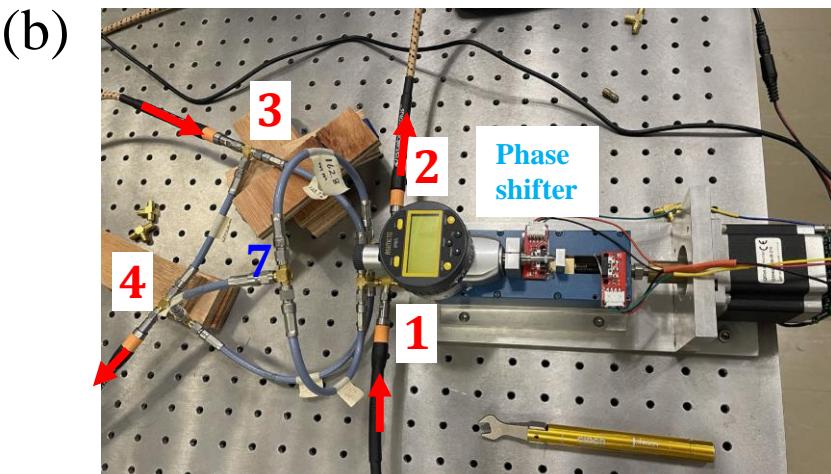
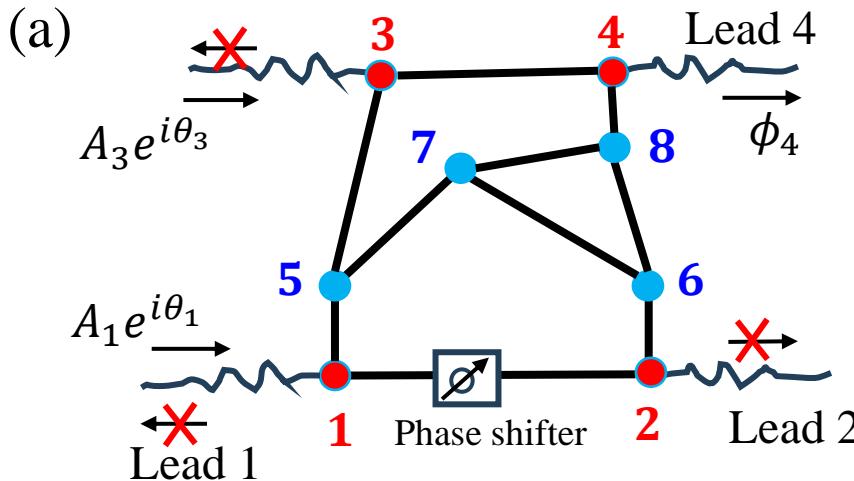
$$I_n^{(\alpha)} = A_\alpha \cdot \delta_{\alpha,n}$$

T. Kottos and U. Smilansky, PRL, (1997)  
O. Hul et al., Phys. Rev. E 69, 056205 (2004)  
B. Dietz et al., Phys. Rev. E 95, 052202 (2017)

# Example Modality 1- Targeted Mode Transport



- Wave input from lead 1 and lead 3. Maximize transmittance at targeted port 4, i.e., maximize the objective function:  $g = \frac{|\phi_4|^2}{A_1^2 + A_3^2} = \frac{\phi_4 \phi_4^*}{A_1^2 + A_3^2}$ , where  $\phi_4$  is the wave on vertex 4
- Three control parameters  $\mathbf{p} = [L_{12}, A_3, \theta_3]$ : length  $L_{12}$  tuned by phase shifter, input wave amplitude  $A_3$  and phase  $\theta_3$ .
- Gradient based optimization requires knowledge of  $\frac{dg}{dp} = \left[ \frac{dg}{dL_{12}}, \frac{dg}{dA_3}, \frac{dg}{d\theta_3} \right]$ .



- Digital Twin: calculate the gradient, based on modeling (CPU costly/model inaccurate).
- Finite difference method requires derivatives for each of the M parameters  $x$  at  $x_0$ :  $\frac{\partial g}{\partial x} |_{x=x_0} = \frac{g(x_0+\delta)-g(x_0-\delta)}{2\delta}$ , i.e.,  $2M$  measurements and  $3M$  operations (time costly/noise sensitive)

**Our goal is**

- (1) Get the gradient/derivative by *in-situ* measurement instead of solving the system equations;
- (2) Minimize the number of measurements/operations (fast/noise resilient).

## Implementation of Adjoint Method

Optimize the objective function:  $g(\mathbf{p}, \Phi(\mathbf{p}), \Phi^*(\mathbf{p})) = \frac{|\phi_4|^2}{A_1^2 + A_3^2} = \frac{\phi_4 \phi_4^*}{A_1^2 + A_3^2}$

$$\frac{dg}{d\mathbf{p}} = \frac{\partial g}{\partial \mathbf{p}} + \frac{\partial g}{\partial \Phi} \frac{\partial \Phi}{\partial \mathbf{p}} + \frac{\partial g}{\partial \Phi^*} \frac{\partial \Phi^*}{\partial \mathbf{p}} = \frac{\partial g}{\partial \mathbf{p}} + \left[ \begin{array}{c|c} \frac{\partial g}{\partial \Phi} & \frac{\partial g}{\partial \Phi^*} \\ \hline \end{array} \right]$$

$$\left[ \begin{array}{c|c} \frac{\partial \Phi}{\partial \mathbf{p}} & \frac{\partial \Phi^*}{\partial \mathbf{p}} \\ \hline \end{array} \right] \quad ?$$

- The system wave equation:

$$(M + iW^T W)\Phi = 2iW^T I_{in}$$

$$M_{mn} = \begin{cases} - \sum_{\gamma \neq m} \mathcal{A}_{m\gamma} \cot kL_{m\gamma} + \lambda_m k, & m = n \\ \mathcal{A}_{mn} \csc kL_{mn}, & m \neq n \end{cases}$$

or written as:  $f = (M + iW^T W)\Phi - 2iW^T I_{in} = 0$

And the conjugate system equation:  $f^* = (M^* - iW^T W)\Phi^* + 2iW^T I_{in}^* = 0$

- Evaluation of  $\frac{\partial \Phi}{\partial \mathbf{p}}$  and  $\frac{\partial \Phi^*}{\partial \mathbf{p}}$  requires solution of two coupled algebraic equations

$$\frac{df}{d\mathbf{p}} = \frac{\partial f}{\partial \mathbf{p}} + \frac{\partial f}{\partial \Phi} \frac{\partial \Phi}{\partial \mathbf{p}} + \frac{\partial f}{\partial \Phi^*} \frac{\partial \Phi^*}{\partial \mathbf{p}} = 0$$

$$\frac{df^*}{d\mathbf{p}} = \frac{\partial f^*}{\partial \mathbf{p}} + \frac{\partial f^*}{\partial \Phi} \frac{\partial \Phi}{\partial \mathbf{p}} + \frac{\partial f^*}{\partial \Phi^*} \frac{\partial \Phi^*}{\partial \mathbf{p}} = 0$$

Known

$$\left( \begin{array}{c} \frac{\partial \Phi}{\partial \mathbf{p}} \\ \frac{\partial \Phi^*}{\partial \mathbf{p}} \end{array} \right) = - \left( \begin{array}{cc} \frac{\partial f}{\partial \Phi} & \frac{\partial f}{\partial \Phi^*} \\ \frac{\partial f^*}{\partial \Phi} & \frac{\partial f^*}{\partial \Phi^*} \end{array} \right)^{-1} \left( \begin{array}{c} \frac{\partial f}{\partial \mathbf{p}} \\ \frac{\partial f^*}{\partial \mathbf{p}} \end{array} \right)$$

## Implementation of Adjoint Method

$$\begin{aligned}
 \frac{dg}{d\mathbf{p}} &= \frac{\partial g}{\partial \mathbf{p}} + \left[ \frac{\partial g}{\partial \Phi} \quad \frac{\partial g}{\partial \Phi^*} \right] \begin{pmatrix} \frac{\partial \Phi}{\partial \mathbf{p}} \\ \frac{\partial \Phi^*}{\partial \mathbf{p}} \end{pmatrix} = \frac{\partial g}{\partial \mathbf{p}} - \underbrace{\begin{pmatrix} \frac{\partial g}{\partial \Phi} & \frac{\partial g}{\partial \Phi^*} \end{pmatrix}}_{(1 \times 2N)} \underbrace{\left( \begin{array}{cc} \frac{\partial f}{\partial \Phi} & \frac{\partial f}{\partial \Phi^*} \\ \frac{\partial f^*}{\partial \Phi} & \frac{\partial f^*}{\partial \Phi^*} \end{array} \right)^{-1}}_{(2N \times 2N)} \underbrace{\begin{pmatrix} \frac{\partial f}{\partial \mathbf{p}} \\ \frac{\partial f^*}{\partial \mathbf{p}} \end{pmatrix}}_{(2N \times M)} \\
 &= \lambda^T = (\lambda_1, \lambda_1^*)^T
 \end{aligned}$$

What is the physical meaning of  $\lambda$ ?

$$\begin{pmatrix} M + iW^T W & 0 \\ \begin{pmatrix} \frac{\partial f}{\partial \Phi} \\ \frac{\partial f^*}{\partial \Phi} \end{pmatrix}^T & \begin{pmatrix} \frac{\partial f}{\partial \Phi^*} \\ \frac{\partial f^*}{\partial \Phi^*} \end{pmatrix}^T \end{pmatrix} \begin{pmatrix} \lambda_1 \\ \lambda_1^* \end{pmatrix} = \begin{pmatrix} 2iW^T I_{adj} \\ -\begin{pmatrix} \frac{\partial g}{\partial \Phi} \\ \frac{\partial g}{\partial \Phi^*} \end{pmatrix}^T \\ -\begin{pmatrix} \frac{\partial g}{\partial \Phi^*} \\ \frac{\partial g}{\partial \Phi} \end{pmatrix}^T \end{pmatrix} - 2iW^T I_{adj}^*$$

$\lambda_1$  is the solution of the Maxwell's Equation for a certain excitation  $I_{adj}$ :

$$I_{adj} = \left[ 0, \quad 0, \quad 0, \quad \frac{i}{2} \frac{\phi_4^*}{A_1^2 + A_3^2} \right]$$

Reminder:  $f = (M + iW^T W)\Phi - 2iW^T I_{in}$

# Implementation of *in situ* Adjoint Method

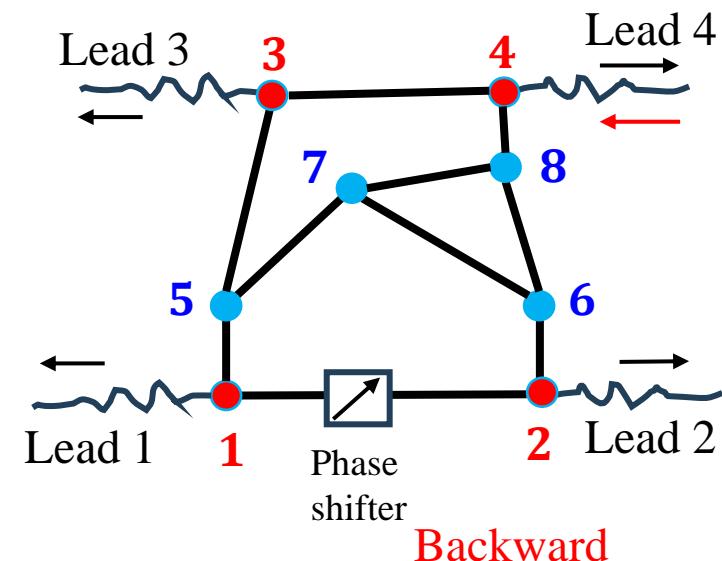
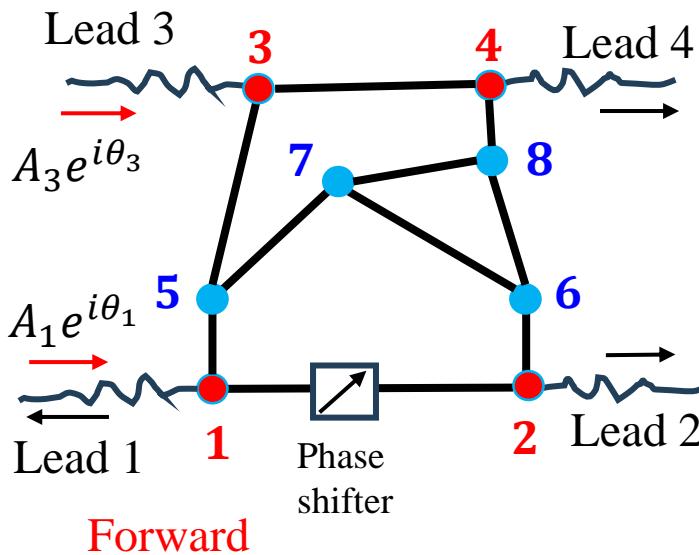
- Forward Equation: The same for time-reversal invariance systems
- Adjoint (backward) Equation:

$$(M + iW^T W)\Phi = 2iW^T I_{\text{in}}$$

$$(M + iW^T W)\lambda_1 = 2iW^T I_{\text{adj}}$$

$$I_{\text{in}} = [A_1 e^{i\theta_1}, \quad 0, \quad A_3 e^{i\theta_3}, \quad 0]$$

$$I_{\text{adj}} = \left[ 0, \quad 0, \quad 0, \quad \frac{i}{2} \frac{\phi_4^*}{A_1^2 + A_3^2} \right]$$



Instead of doing matrix inversion via computation, we could directly measure the forward and adjoint field, this is *in situ* adjoint method.

# Implementation of *in situ* Adjoint Method

$$\frac{dg}{d\mathbf{p}} = \frac{\partial g}{\partial \mathbf{p}} + (\lambda^T, \lambda^{*T})$$

Backward measurement      Forward measurement

$$\begin{pmatrix} \frac{\partial f}{\partial \mathbf{p}} \\ \frac{\partial f^*}{\partial \mathbf{p}} \end{pmatrix}$$

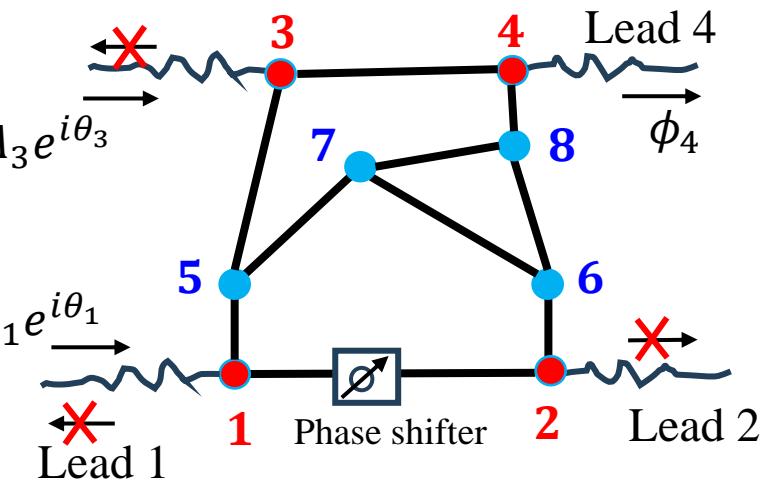
Reminder:

$$f = (M + iW^T W)\Phi - 2iW^T I_{in}$$

$$\mathbf{p} = [L_{12}, A_3, \theta_3]$$

**Measure twice: forward and backward (adjoint) waves, avoid the matrix inversion!**

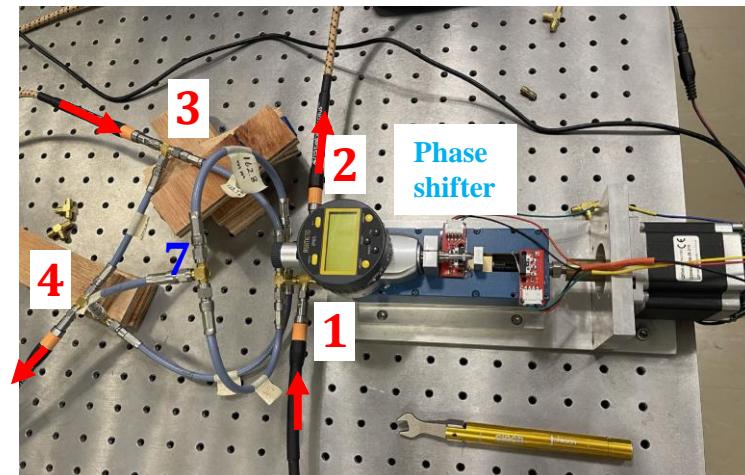
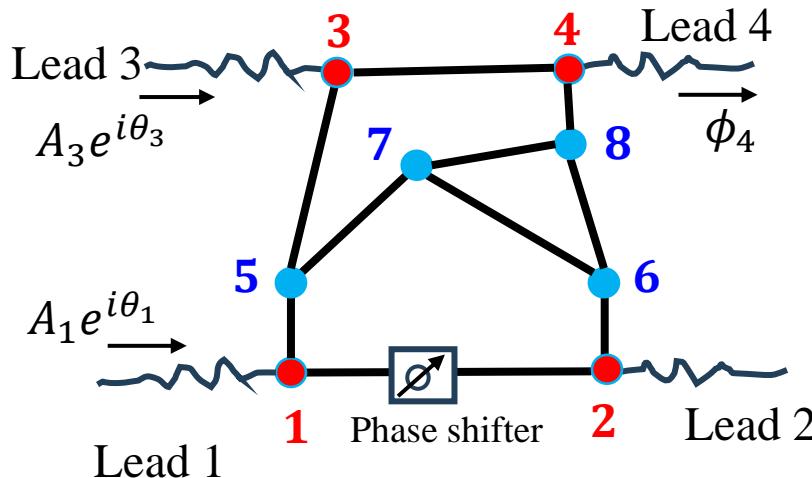
$$\frac{\partial f}{\partial \mathbf{p}} = \begin{pmatrix} \frac{k\phi_1}{\sin^2 kL_{12}} - \frac{k \cos kL_{12} \phi_2}{\sin^2 kL_{12}} & 0 & 0 \\ \frac{k\phi_2}{\sin^2 kL_{12}} - \frac{k \cos kL_{12} \phi_1}{\sin^2 kL_{12}} & 0 & 0 \\ 0 & -2ie^{i\theta_3} & iA_3 e^{i\theta_3} \\ 0 & 0 & 0 \\ \vdots & \vdots & \vdots \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} A_3 e^{i\theta_3} \\ A_1 e^{i\theta_1} \\ \vdots \\ \vdots \end{pmatrix}$$



- We only need to measure the vertices local to our parameters!
- Tuning the system locally can change the system dramatically due to wave chaos!

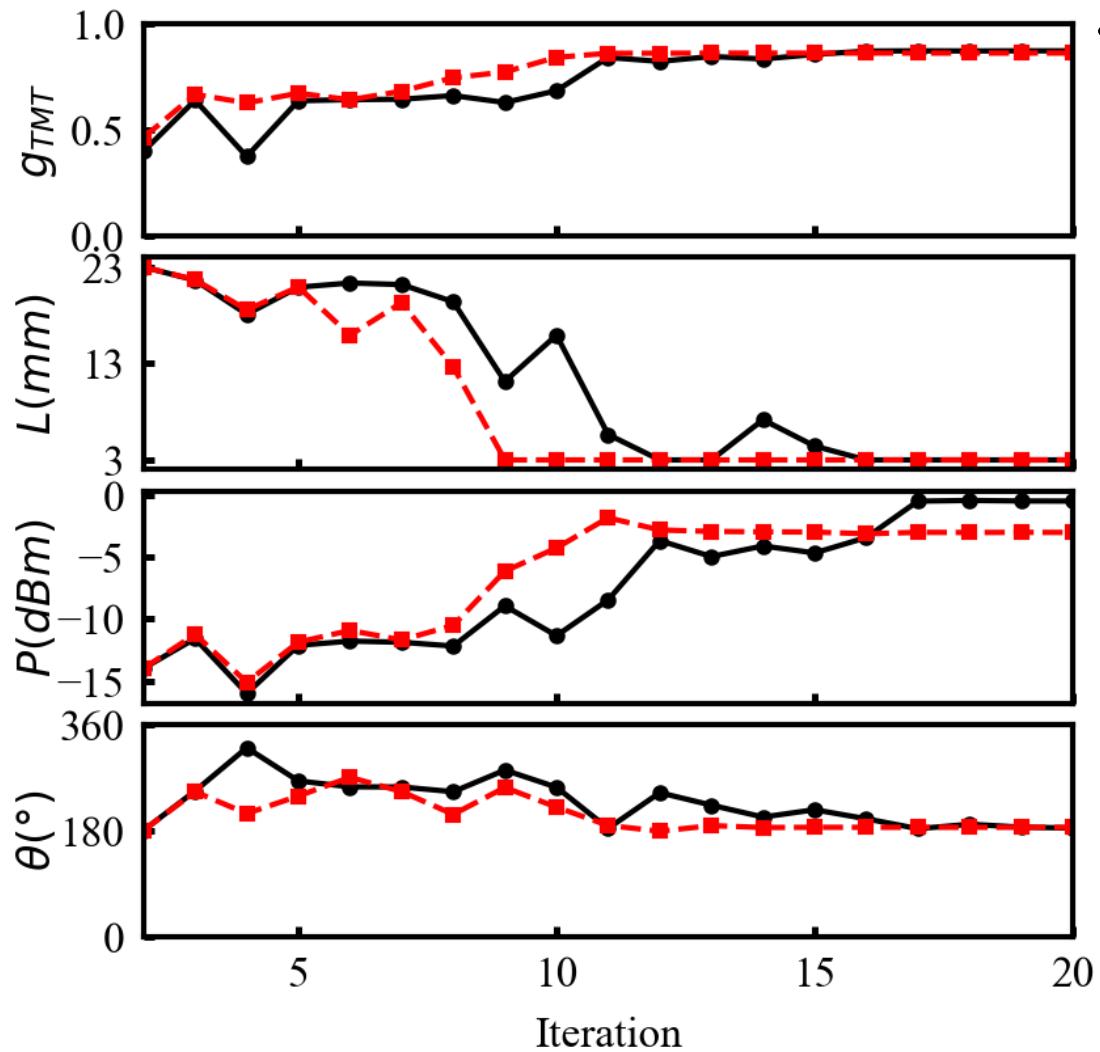
- General Problem: Challenges in Wireless Communications
- Methodologies
  - 1. Time-Reversal Mirror Protocol
  - 2. Linear and Nonlinear Wavefront Shaping Protocols
  - 3. Cavity Shaping Protocols
- In-Situ Adjoint Method
  - 1. General Principles of Adjoint Method
  - 2. Physical Implementation in Complex Networks
- In-Situ Modality Examples
  - 1. Targeted Mode Transmission
  - 2. Coherent Perfect Absorption
  - 3. Invisibility
- In-Silico Generalization
- Outlook

# Example Modality 1: Targeted Mode Transmission



1. Forward Measurement
  - Inject signals into the system and measure the forward response.
2. Adjoint Measurement
  - Construct input based on the forward step and measure the adjoint response.
3. Gradient Calculation
  - Use measured forward and adjoint fields to calculate  $\frac{dg}{dp}$
4. Parameter Update
  - Apply gradient-based optimization (e.g. MMA) and adjust phase shifter length and relative phase/amplitude
5. Iteration & Convergence
  - Repeat steps 1-4 until the objective function reaches a local min/max.

# Example Modality 1: Targeted Mode Transmission

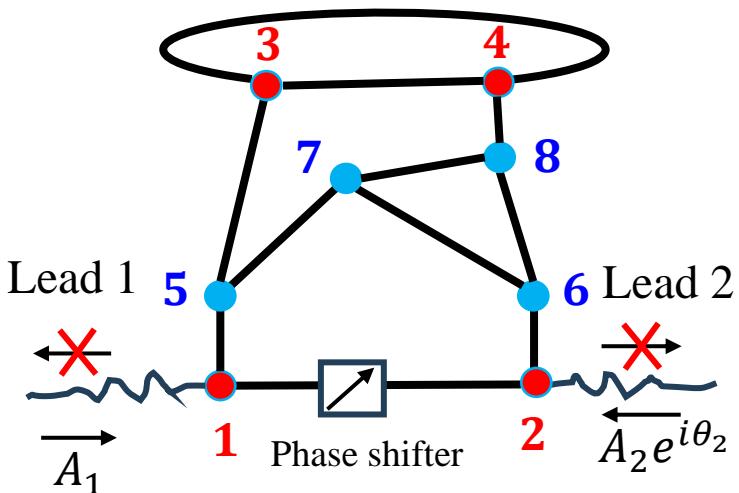


Maximize the objective function:

$$g = \frac{|\phi_4|^2}{A_1^2 + A_3^2} = \frac{\phi_4 \phi_4^*}{A_1^2 + A_3^2}$$

	In situ adjoint	Digital twin
Objective: $g$	0.873	0.862
$L(\text{mm})$	3.00	3.00
Power(dBm)	-0.47	-2.99
Phase(°)	184.6	185.1

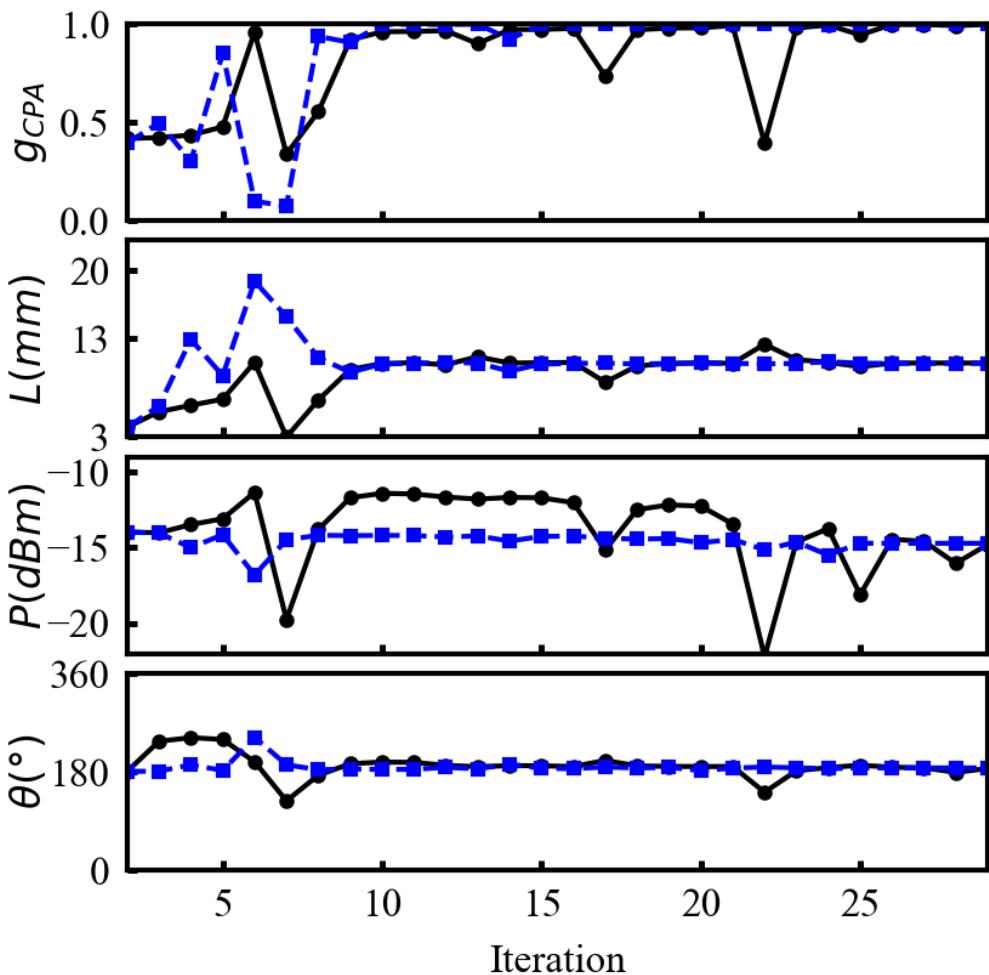
## Example Modality 2: Coherent Perfect Absorption



Maximize the objective function (absorption):

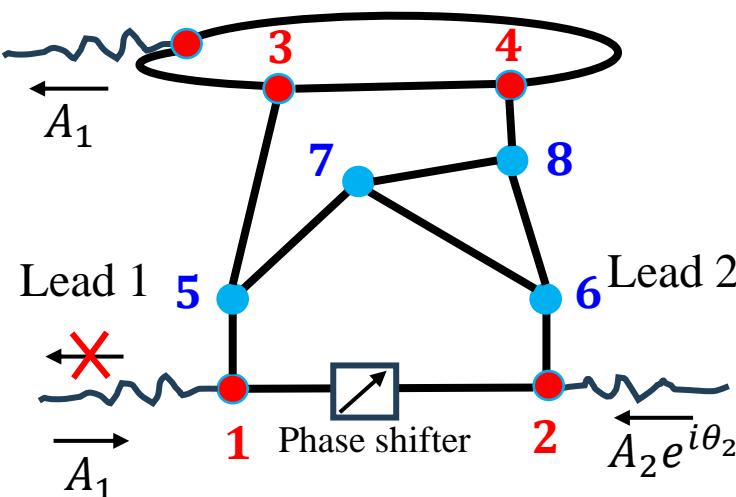
$$g = 1 - \frac{|\phi_1 - A_1|^2 + |\phi_2 - A_2 e^{i\theta_2}|^2}{A_1^2 + A_2^2}$$

	In situ adjoint	Digital twin
Objective: g	0.999	0.999
L(mm)	10.52	10.48
Power(dBm)	-14.82	<b>-14.72</b>
Phase(°)	185.2	186.4



## Example Modality 3: Invisibility

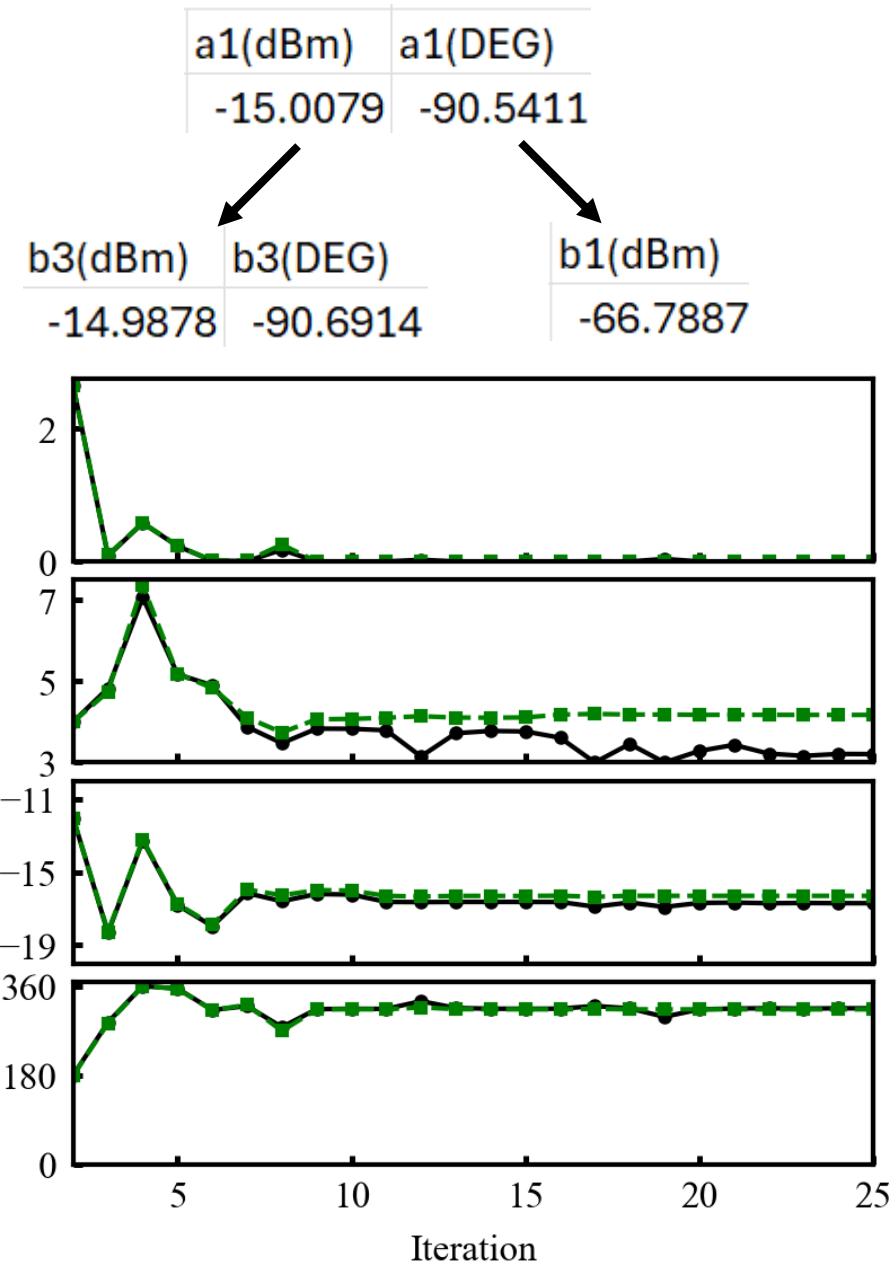
Lead 3



Minimize the objective function (difference):

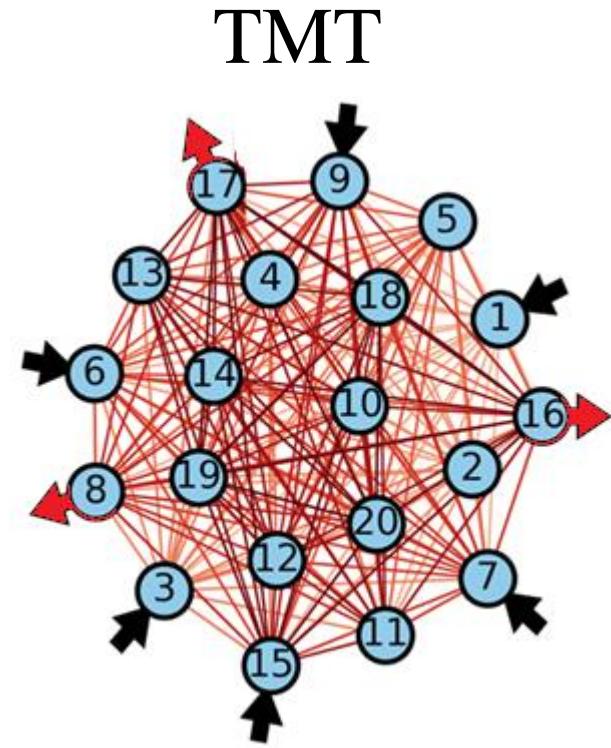
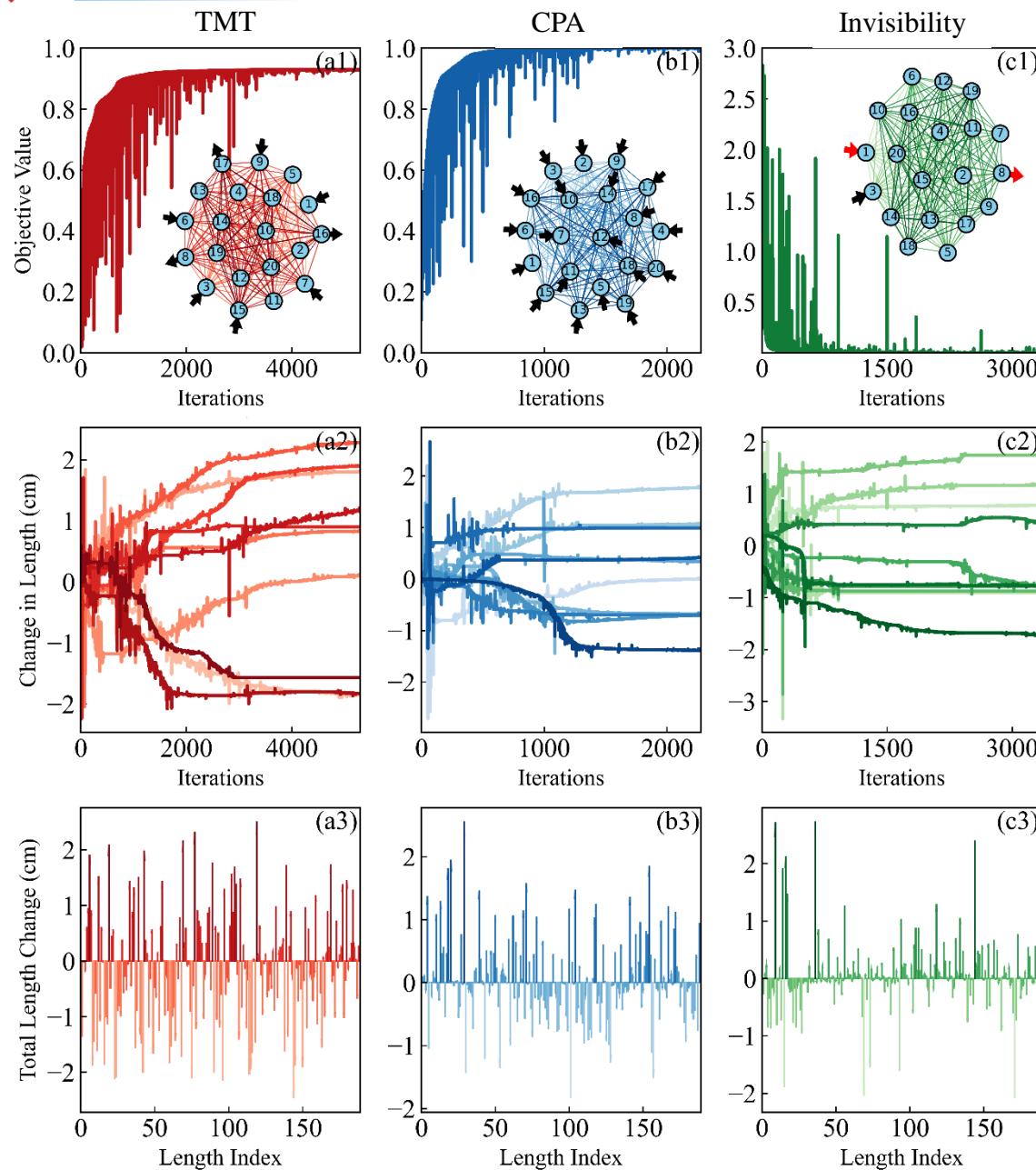
$$g_{invis} = \frac{|\phi_3 - A_1|^2}{A_1^2} + \frac{|\phi_1 - A_1|^2}{A_1^2 + A_2^2}$$

	In situ adjoint	Digital twin
Objective: g	1.65e-5	4.73e-7
L(mm)	3.19	4.17
Power(dBm)	-16.71	-16.30
Phase(°)	315.1	313.0



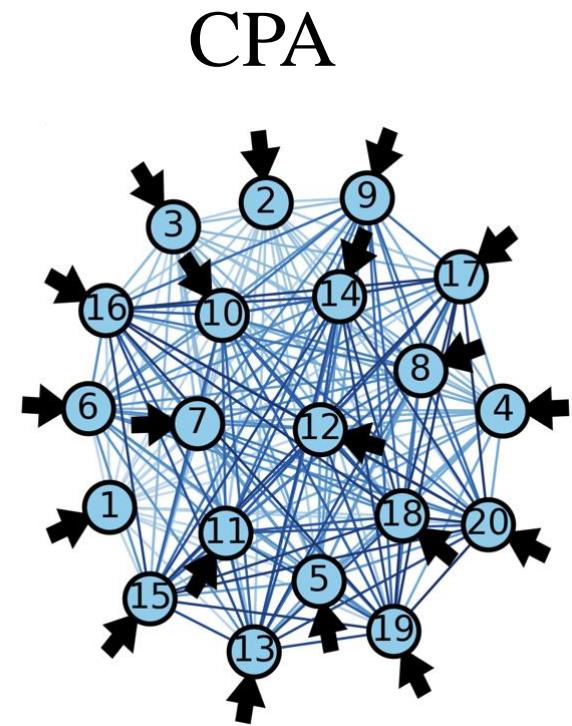
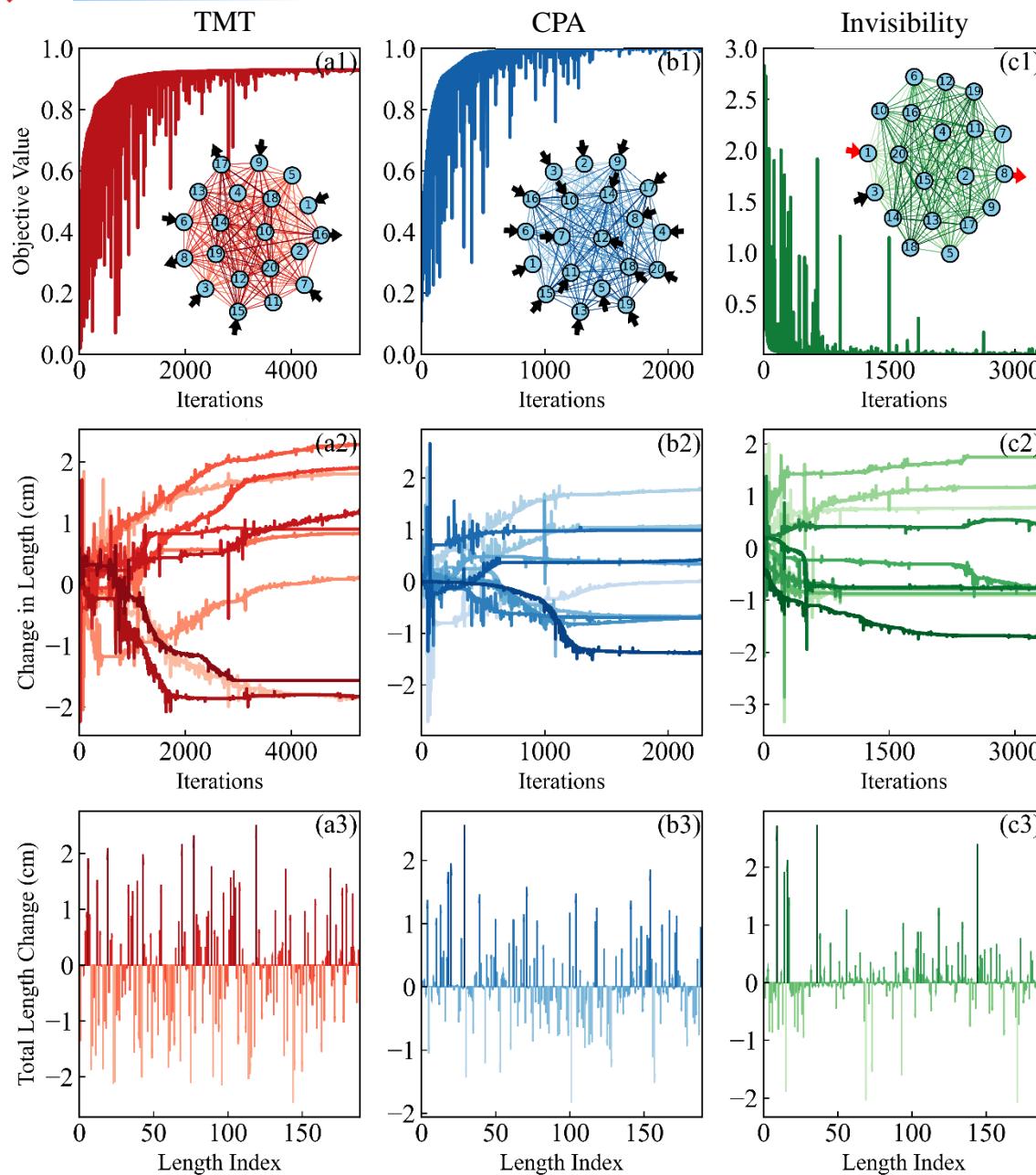
- General Problem: Challenges in Wireless Communications
- Methodologies
  - 1. Time-Reversal Mirror Protocol
  - 2. Linear and Nonlinear Wavefront Shaping Protocols
  - 3. Cavity Shaping Protocols
- In-Situ Adjoint Method
  - 1. General Principles of Adjoint Method
  - 2. Physical Implementation in Complex Networks
- In-Situ Modality Examples
  - 1. Targeted Mode Transmission
  - 2. Coherent Perfect Absorption
  - 3. Invisibility
- In-Silico Generalization
- Outlook

## In-Silico (simulations) Using Large Complex Networks



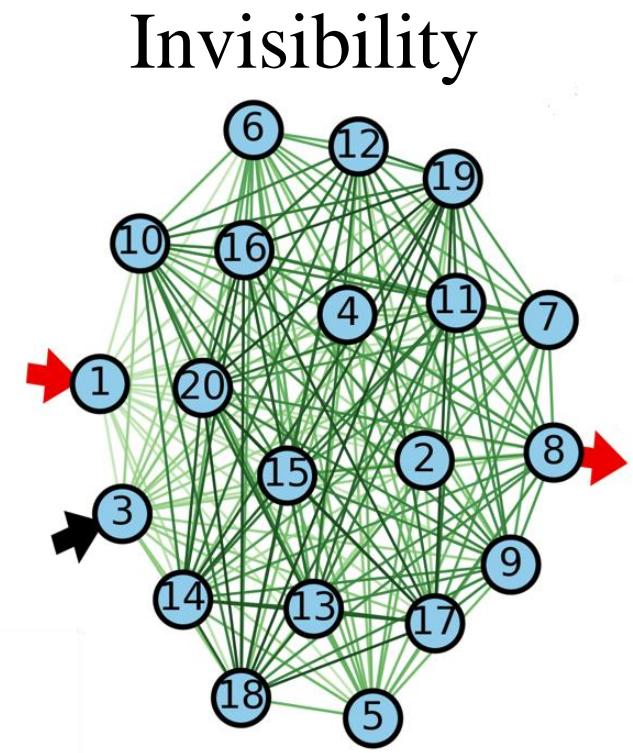
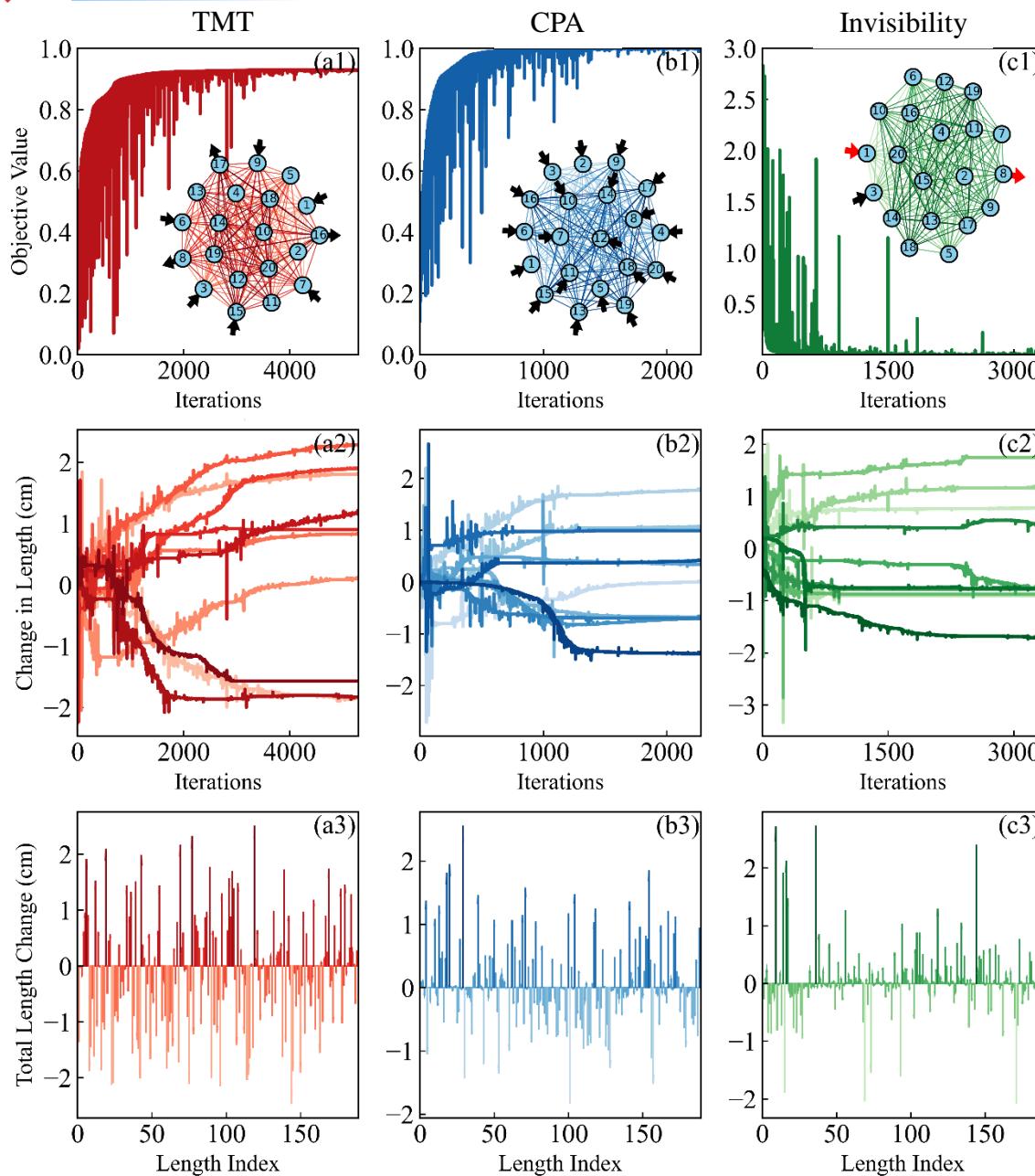
For a fully connected graph:  
 $\# \text{ of Bonds} = \frac{n(n-1)}{2} \approx n^2$ ,  
 In-Situ Adjoint only requires  $n$   
 local measurements!  
 190 bonds  $\rightarrow$  20 measurements!

# In-Silico (simulations) Using Large Complex Networks



For a fully connected graph:  
 $\# \text{ of Bonds} = \frac{n(n-1)}{2} \approx n^2,$   
 In-Situ Adjoint only requires  $n$  local measurements!  
 190 bonds  $\rightarrow$  20 measurements!

# In-Silico (simulations) Using Large Complex Networks



For a fully connected graph:  
 $\# \text{ of Bonds} = \frac{n(n-1)}{2} \approx n^2,$

In-Situ Adjoint only requires  $n$  local measurements!  
 190 bonds  $\rightarrow$  20 measurements!